



## Nitrogen nutrition index at GS 3.3 is an effective tool to adjust nitrogen required to reach attainable wheat yield

El índice de nutrición nitrogenada en GS 3.3 es una herramienta eficaz para ajustar el nitrógeno necesario para lograr el rendimiento de trigo alcanzable

O índice de nutrição de nitrogênio no GS 3.3 é uma ferramenta eficaz para ajustar o nitrogênio necessário para alcançar a produtividade de trigo atingível

Fassana, N. <sup>1</sup>; Hoffman, E. <sup>1</sup>; Berger, A. <sup>2</sup>; Ernst, O. <sup>1</sup>

<sup>1</sup>Universidad de la República, Facultad de Agronomía, Departamento de Producción Vegetal, Paysandú, Uruguay

<sup>2</sup>Instituto Nacional de Investigación Agropecuaria (INIA), INIA La Estanzuela, Colonia, Uruguay

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José A. Terra   
Instituto Nacional de Investigación  
Agropecuaria (INIA), Treinta y Tres,  
Uruguay

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### Correspondence

Nicolás Fassana  
fassana@fagro.edu.uy

### Abstract

Current nitrogen (N) fertilization schedule for spring wheat was developed under a dominant crop-pasture rotation. After the year 2002, this cropping system was converted to continuous annual cropping systems under no-till, reducing soil N supply capacity progressively. Additionally, highest grain yield of new varieties increased N demand. The required additional N fertilizer can be adjusted by monitoring nutritional status of the crop. Our objectives were: i) to determine optimal N status at different phenological stages; ii) to quantify the wheat yield gap explained by N supply deficit, and iii) to assess the critical nitrogen nutrition index (NNI) value as a predictor of response to N fertilizer applied at GS 3.3. We adjusted the nitrogen dilution curve ( $N_c=4.17DM^{-0.31}$ ), deriving a critical NNI at GS 3.3 (NNI=1.24). Depending on soil N supply capacity and NNI at GS 3.3, wheat yield gap attributed to N supply deficit varied from 0 to 2.74 Mg ha<sup>-1</sup>, averaging 0.76 Mg ha<sup>-1</sup>. The critical NNI proposed at GS 3.3 was effective to diagnose the N crop demand to reach the attainable yield under different scenarios.

**Keywords:** synchronize supply/demand, wheat nutrition, diagnosis, *Triticum aestivum*

### Resumen

El esquema actual de fertilización con nitrógeno (N) para el trigo de primavera se desarrolló bajo una rotación dominante de cultivo-pastura. Después de 2002, este sistema se convirtió en un sistema de cultivo anual continuo con labranza cero, reduciendo progresivamente la capacidad de suministro de N del suelo. Además, el mayor rendimiento en grano de las nuevas variedades aumentó la demanda de N. El fertilizante nitrogenado adicional requerido se puede ajustar monitoreando el estado nutricional del cultivo. Nuestros objetivos fueron: i) determinar el estado óptimo de N en diferentes etapas fenológicas; ii) cuantificar la brecha de rendimiento del trigo explicada por el déficit de suministro de N, y iii) evaluar el valor crítico del índice de nutrición nitrogenada (INN) como predictor de respuesta al agregado de fertilizante nitrogenado en GS 3.3. Ajustamos la curva de dilución de nitrógeno ( $N_c=4,17MS^{-0,31}$ ), derivando un INN crítico en GS 3.3 (INN=1,24). Según la capacidad de suministro de N del suelo y el INN en GS 3.3, la brecha de rendimiento del trigo atribuida al déficit



de suministro de N varió de 0 a 2,74 Mg ha<sup>-1</sup>, con un promedio de 0,76 Mg ha<sup>-1</sup>. El INN crítico propuesto en GS 3.3 fue efectivo para diagnosticar la demanda de N del cultivo y lograr el rendimiento alcanzable en diferentes escenarios.

**Palabras clave:** sincronizar oferta/demanda, nutrición de trigo, diagnóstico, *Triticum aestivum*

## Resumo

O esquema atual de fertilização com nitrogênio (N) para o trigo de primavera foi desenvolvido sob uma rotação dominante de cultivo e pastagem. A partir de 2002, esse sistema passou a ser um sistema de cultivo anual contínuo com plantio direto, reduzindo progressivamente a capacidade de suprimento de N do solo. Além disso, o maior rendimento de grãos das novas variedades aumentou a demanda por N. O fertilizante de nitrogênio adicional necessário pode ser ajustado monitorando o estado nutricional da cultura. Nossos objetivos foram: i) determinar o estado ótimo do N em diferentes estágios fonológicos; ii) quantificar a lacuna de produtividade do trigo explicada pelo déficit de oferta de N e iii) avaliar o valor crítico do índice de nutrição de nitrogênio (INN) como preditor de resposta à adição de fertilizante nitrogenado no GS 3.3. Ajustamos a curva de diluição de nitrogênio ( $N_c=4,17MS^{-0,31}$ ), derivando um INN crítico em GS 3,3 (INN=1,24). De acordo com a capacidade de suprimento de N do solo e do INN no GS 3.3, a diferença de produtividade do trigo atribuída ao déficit de suprimento de N variou de 0 a 2,74 Mg ha<sup>-1</sup>, com média de 0,76 Mg ha<sup>-1</sup>. O INN crítico proposto em GS 3.3 foi eficaz para diagnosticar a demanda de N da cultura para atingir o rendimento alcançável em diferentes cenários.

**Palavras-chave:** sincronizar oferta/demanda, nutrição do trigo, diagnóstico, *Triticum aestivum*

## 1. Introduction

Land use has changed drastically in the last 20 years in South American Pampas<sup>(1)</sup>, a region that covers more than 700000 km<sup>2</sup> in central-eastern Argentina, southern Brazil, and Uruguay. Following these regional trends, the annual cropped area in Uruguay increased from 400000 to 3.055 million ha, with soybean and wheat as main crops<sup>(2)</sup>. This increase in cropped area occurred mainly by shifting cropping systems from crop-pasture rotations to continuous annual cropping under no-till<sup>(3)</sup>.

Current nutrient management recommendation for spring cereals production in Uruguay<sup>(4)</sup> was developed between 1992 and 2001, under dominant crop-pasture rotation composed of a three- or four-year annual cash crop phase alternating with a three- or four-year grass-legume pasture phase.

The schedule proposed has three steps: i) amount of N fertilizer at seeding date is defined according to nitrate concentration (N-NO<sub>3</sub><sup>-</sup>) availability in the top 20 cm of soil; ii) additional N fertilizer is added if N-NO<sub>3</sub><sup>-</sup> in the 0-20 cm layer is less than 12 mg kg<sup>-1</sup> when wheat is at GS 2.2 stage in the Zadoks scale<sup>(5)</sup>; and iii) additional N fertilizer is defined at GS 3.0 stage depending if plant N concentration is lower than 42 g kg<sup>-1</sup>. Under such conditions, the amount of N fertilizer required is adjusted following a family of response curves to produce maximum grain yields estimated for each field<sup>(6)</sup>.

When crop-pasture rotation is converted to continuous annual cropping both soil nitrogen (N) supply capacity and N fertilizer use efficiency are

reduced<sup>(7)</sup>, implying increased N fertilizer requirements<sup>(8)</sup>. This N supply deficit can be diagnosed following current “best management practices”. However, plant N concentration at GS 3.0 and fertilizer rates applied under such guide remain as the yield limiting factor<sup>(7)(9)</sup> generating a yield gap (Yg) attributed to N deficit. To close this Yg (difference between attainable yield and actual yield), N fertilization amount at GS 3.0 has been arbitrarily increased<sup>(9)</sup>, emerging potential negative environmental impacts from N overuse.

Two processes would explain increased N requirements: i) depressed N soil supply<sup>(10)</sup>, and ii) increased N demand because of increased yield potential of the new wheat varieties<sup>(11-12)</sup>.

To promote precise N fertilization management, including amount and timing, the new tools must be based on accurate crop nitrogen requirement estimations. Additionally, N fertilization strategies must be adjusted balancing the often-contradictory goals of maximize production with low negative environmental impacts. Thus, splitting N fertilizer application following crop N requirements throughout the growing season is probably the best strategy. Delaying part of the N fertilizer to the onset of stem elongation usually results in maximum N use efficiency<sup>(13-15)</sup>. However, N required is not a fixed amount split over time, it is a variable amount depending on N supply from the soil and N demand from crop balance. Therefore, reliable indicators of crop N status are required to improve detection and correction of N deficiencies, but avoiding N overfertilization.

Following Lemaire and others<sup>(16)</sup>, the impact of N deficit on wheat grain yield can be quantified applying the concept of critical N concentration ( $N_c$ ) to diagnose the N status of crops. The value of  $N_c$  represents the minimum N concentration that is required for maximum biomass production at different development stages. The concept of a  $N_c$  dilution curve based on plant N concentration (% N) was developed by Lemaire and Salette<sup>(17)</sup>, being represented by an allometric function:

$$N_c = a \cdot DM^{-b}$$

where DM is the shoot dry matter expressed in  $Mg\ ha^{-1}$ ;  $N_c$  is the critical N concentration in shoots expressed in % DM, and a and b are estimated parameters. The parameter a represents the  $N_c$  in the shoot DM for  $1\ Mg\ ha^{-1}$ , and the parameter b represents the coefficient of dilution describing the relationship between % N and shoot DM<sup>(18)</sup>.

Under other limiting yield factors than N, this allometric model expresses the N dilution curve (NDC) as the crop cycle progresses<sup>(19)</sup>. Relating % N and crop growth rate might diagnose the nitrogen nutritional status at different phenological stages<sup>(20)</sup>. Nitrogen nutrition index (NNI) has been proposed as an indicator to quantify the crop nutritional status related to  $N_c$  to non-limited growth ( $NNI = \% N / N_c$ )<sup>(16)(21)</sup>. The  $N_c$  value is derived from NDC.

Although NDC and NNI are known concepts, its use as a diagnoses and prognoses criterion requires to answer at least the following question: at each phenological stage, what is the  $N_c$  that does not limit growth rate until the next phenological stage? Being GS 3.3 the last wheat phenological stage to apply N fertilizer maximizing grain yield and N fertilizer use efficiency<sup>(13-15)</sup>, can we use NNI at GS 3.3 to diagnose and predict N deficit and N fertilizer requirement?

Our hypothesis are: i) following current “best management practices”, N supply after GS 3.0 is the wheat yield limiting factor; ii) additional N fertilizer required for wheat production in Uruguay can be adjusted matching the current N recommendations guide<sup>(4)</sup> with the NNI at GS 3.3.

The objectives were: i) to determine optimal N status at different phenological stages adjusting the N dilution curve for spring wheat under rainfed conditions; ii) to quantify the wheat yield gap explained by N supply deficit at GS 3.3, and iii) to propose a critical NNI level as predictor of response to N fertilizer applied at GS 3.3.

## 2. Materials and methods

### 2.1 Database

Critical NDC adjustment requires to quantify critical values at which N neither limits nor enhances plant growth at each phenological stage. We used an original database from 27 on-farm experiments over five growing seasons (from 2011 to 2016) and one experiment under controlled conditions.

Field experiments were suited in the northwestern region of Uruguay testing wheat response to N rate fertilization, applied at different phenological stages, recorded using the Zadoks scale<sup>(5)</sup> (seeding, GS 2.2, GS 3.0 and GS 3.3). Total N applied ranged from 0 to non-limiting N amount ( $231\ kg\ N\ ha^{-1}$ ), with maximum instantaneous rates of up to  $150\ kg\ N\ ha^{-1}$ . N source was sulfur urea (40-0/0-0-6) at seeding and GS 2.2, and solmix (28-0/0-0-5.2, density =  $1.32\ kg\ lt^{-1}$ ) at GS 3.0 and GS 3.3. Experiment's design corresponds to randomized plots arrangement with at least 3 blocks. Plot size varied from 4 to 6 m wide and 8 to 10 m long. Fields experiments included soil texture, potential available water capacity and previous crop variability (Supplementary material 1 and 2).

All experiments were seeded under no-till systems using one of the five top yielding cultivars in trials of the National Testing Network of Wheat Cultivars for each year<sup>(22)</sup>.

The sowing dates in each site and season were suited within optimal range. DM and % N (Kjeldahl) were determined from samples composed by 2 subsamples of 4 linear meters by plot at GS 3.0, GS 3.3 and GS 6.5. Crop yield was determined by hand-harvesting  $1.50\ m^2$  per plot.

Experiment under controlled conditions was seeded in 30 pots (radius: 12.5 cm; height: 40 cm) containing a sandy-loam soil composed by a soil ( $\frac{2}{3}$ ) and sand ( $\frac{1}{3}$ ) mixture. The N applied, DM and % N sampled were: i) 10 pots sampled at GS 2.2 received N applied at seeding date only (equivalent to 0, 40, 80 and  $120\ kg\ of\ N\ ha^{-1}$ ); ii) 20 pots receiving the same amount of N, but split in  $\frac{1}{3}$  at seeding date and  $\frac{2}{3}$  at GS 2.2, 10 of which were sampled at GS 3.0; iii) 10 pots receiving an additional  $40\ kg\ of\ N\ ha^{-1}$  at GS 3.0 were sampled at GS 3.3.

### 2.2 Data analysis

#### Adjusting NDC

We followed the classical approach to determine the NDC<sup>(19)</sup>. N-limiting growth is defined as a treatment

in which any additional N application would lead to a significant increase in shoot DM. A non-N-limiting growth treatment is defined as an N application rate that would not lead to an increase in shoot DM but would result in a significant increase in % N. For each phenological stage, the minimum % N necessary to achieve maximum shoot DM is defined as the critical shoot concentration ( $N_c$ )<sup>(17)(19)</sup>.

DM differences were established comparing accumulated DM across the different N treatments by analysis of variance using Infostat. Differences among treatments were defined at  $p\text{-value} \leq 0.1$  level.

The  $N_c$  was estimated as follows:

i) For each phenological stage, the variation % N versus shoot DM across different N levels was combined into a bilinear relation composed of (a) a linear regression representing the joint increase in % N and DM, and (b) a vertical line corresponding to an increase in % N without significant variation in shoot DM.

ii) Maximum DM corresponds to the average of the observed data under non-limiting N conditions.

iii) The theoretical  $N_c$  corresponds to the breakpoint of these bilinear regressions.

NDC was estimated using an allometric equation fitted to this N critical points, proposed by Lemaire and Salette<sup>(17)</sup>.

#### Estimating N deficiency at GS 3.3 to maximize grain yield

Two concepts were applied to diagnose the N non-limited/limited growth conditions to maximize wheat yield:  $NNI$ <sup>(16)(21)</sup> and  $Y_g$  attributed to  $N$ <sup>(23-24)</sup>, as follows:

i) We define GS 3.3 as the last phenological stage where grain yield responds to N fertilizer<sup>(25)</sup>.

ii) Critical  $NNI$  level at GS 3.3 ( $NNI_{critical}$ ) was estimated by non-linear regression (two sections with plateau) relating relative yield (RY) and  $NNI$ .

$NNI$  corresponds to the ratio between % N of the crop to be diagnosed with the  $N_c$  estimated from the NDC ( $NNI = \% N/N_c$ ).

If  $NNI = 1$ , N nutrition is considered optimal; if  $NNI$  was  $>1$ , N nutrition is considered excessive; and if  $NNI$  was  $<1$ , N nutrition is considered insufficient.

$$RY = Y/Y_{max}$$

where  $Y$  = grain yield obtained under a given N rate;  $Y_{max}$  = highest grain yield among all N application rates.

iii) Using data from GS 3.3 only, an NDC at GS 3.3 to maximize grain yield ( $NDC_{yield}$ ) resulted from  $NDC * NNI_{critical}$  value. This NDC corresponds to optimum % N at GS 3.3 considering the DM produced until GS 3.3.

#### Predicting wheat yield response to N applied at GS 3.3

The proposed critical  $NNI$  at GS 3.3 as predictor of wheat yield response to N applied at GS 3.3 was evaluated on data from 6 independent experiments (Supplementary material 2). We estimated the  $NNI$  from treatments under  $N=0$  at GS 3.3, and grain yield response to N applied at GS 3.3 ( $N=0$  vs  $N>0$ ), comparing the RY difference between these two N rates.

The conditions of the successes were defined by:

$$1) NNI \leq NNI_{(RY=1)} \text{ and } RY \leq 1$$

$$2) NNI \geq 1 \text{ and } RY \geq RY_{(plateau)}$$

The conditions of error in the diagnosis were divided into two types and were defined by:

$$1) \text{ Type I error. } NNI > 1 \text{ and } RY > 1$$

$$2) \text{ Type II error. } NNI > NNI_{(RY=1)} \text{ and } RY < RY_{(plateau)}$$

#### Identifying variables determining yield gap attributed to nitrogen deficiency at GS 3.3

Yield gap ( $kg\ ha^{-1}$ ) attributed to N supply deficit at GS 3.3 was calculated as the difference between yield obtained at each N rate and the highest grain yield obtained without N fertilizer applied at GS 3.3 ( $Y_g = Y_{highest} - Y$ ).

We used classification and regression tree<sup>(26)</sup> (JMP 8 statistical package) to uncover relationships and interactions between  $Y_g$  and a suite of crop growth and crop nutrition at GS 3.3. Classification and regression tree is a nonparametric modeling approach that can explain the responses of a dependent variable ( $Y_g$ ) from a set of independent continuous variables or categorical variables, identifying homogeneous subsets based on independent variable. Independent variables included: i) wheat yield obtained under  $N=0$  estimating soil N supply capacity ( $Y_{N=0}$ ); ii) attainable wheat yield ( $Y_{max}$ ) under no limited by N conditions, but under rainfall conditions — maximum yield ( $Mg\ ha^{-1}$ ) obtained under the best N treatment in each experiment—; iii) crop growth — DM at GS 3.3 ( $Mg\ ha^{-1}$ ), maximum dry matter produced ( $Mg\ ha^{-1}$ ) at GS 6.5 ( $DM_{max}$ ) under the best treatment in each experiment—, and iv) crop nutrition estimators (N uptake,  $NNI$ ,  $N_c$ , % N).

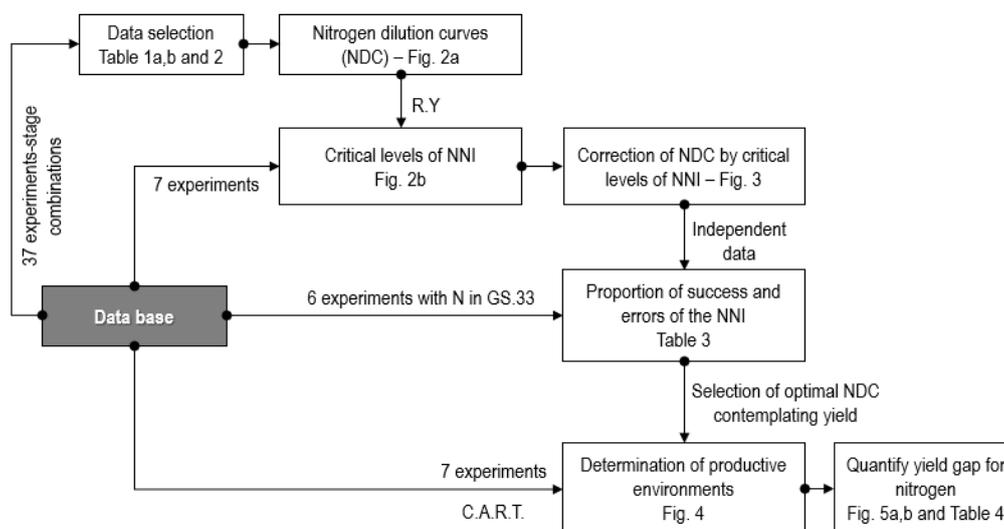
### Estimating yield gap explained by N supply deficit at GS 3.3

The relative yield gap (RYg) corresponds to Yg related to Y<sub>highest</sub> reference (RYg=Yg/Y<sub>highest</sub>), representing the relative distance from Y to Y<sub>highest</sub>, allowing a normalized comparison of Yg among site-year combinations.

Yield loss due to N deficiency at GS 3.3, was quantified by non-linear regression (lineal-plateau) relating RYg and NNI by soil N supply capacity.

Total N supply is composed by soil N supply (N<sub>soil</sub>) plus N fertilizer. N<sub>soil</sub> was estimated using the grain yield obtained under the control treatment (N fertilizer rate=0) (Y<sub>Nsoil</sub>); it was considered as an estimator of the N contribution capacity from soil throughout the crop cycle, and not just in a growth stage. Diagram on Figure 1 presents the work scheme followed.

**Figure 1.** Simplified model of stages and processes in research



R.Y - Relative yield, C.A.R.T. - Classification and Regression Tree, NDC - Nitrogen dilution curve, NNI - Nitrogen nutrition index, N - Nitrogen

## 3. Results

### 3.1 Description of the database

A wide range of DM and % N were obtained at each phenological stage (Table 1). However, data

satisfying the statistical requirements described in section 2.2 included shoot DM values between 0.21 and 11.5 Mg ha<sup>-1</sup>, and % N between 4.5% and 1.2% corresponding to GS 2.2 and GS 6.5, respectively.

**Table 1.** Descriptive statistics of aerial biomass and total N in plant in GS 2.2, GS 3.0, GS 3.3 and GS 6.5. DM - Aerial biomass (Mg ha<sup>-1</sup>). N (%) - N concentration in aerial biomass (%), n - Observations number, SD - Standard deviation, CV - coefficient of variation, Min. - minimum value, Max. - maximum value, Q<sub>1</sub> - Quantile 1, Q<sub>3</sub> - Quantile 3

Phenological stage	Variable	n	Mean	SD	CV	Min.	Max.	Median	Q <sub>1</sub>	Q <sub>3</sub>
GS 2.2	DM (Mg ha <sup>-1</sup> )	10	0.21	0.04	21.4	0.14	0.27	0.21	0.16	0.24
	N (%)	10	4.54	0.56	12.3	3.34	5.2	4.72	4.42	4.87
GS 3.0	DM (Mg ha <sup>-1</sup> )	111	1.01	0.58	57.6	0.35	3.29	0.74	0.52	1.46
	N (%)	111	3.34	0.66	19.7	2.02	4.7	3.2	2.81	3.9
GS 3.3	DM (Mg ha <sup>-1</sup> )	168	2.8	1.23	43.9	0.48	5.94	3.12	1.61	3.67
	N (%)	168	3.08	1.01	32.9	1.2	5.41	2.77	2.36	3.96
GS 6.5	DM (Mg ha <sup>-1</sup> )	501	7.48	1.94	25.9	3.03	15.03	7.21	6.18	8.42
	N (%)	501	1.64	0.32	19.6	0.93	3.04	1.61	1.42	1.8

### 3.2 N-dilution curve and critical NNI to GS 3.3

The following function to estimate NDC was adjusted using 37 values of DM, and % N corresponded to growth stages from GS 2.2 to GS 6.5.

$$N_c = 3.6 \cdot DM^{-0.31}$$

The model describes the minimum concentration of N needed to obtain the maximum DM production at corresponding phenological stage. It represents the allometric relationship between DM and % N ( $R^2 = 0.81$ ;  $p$ -value < 0.0001;  $SE_a = 0.13$ , and  $SE_b = 0.03$ ) (Fig. 2a).

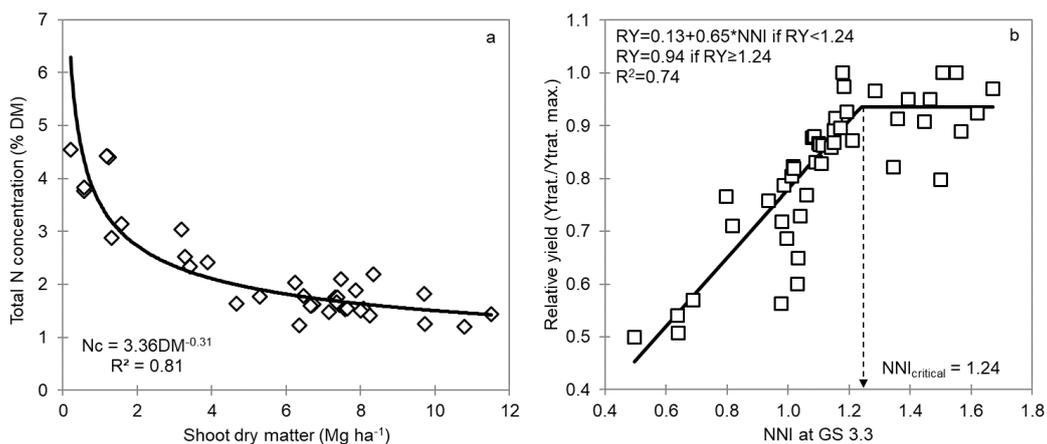
The relationship between RY and NNI at GS 3.3 derived from this NDC corresponded to a non-linear model of two sections with plateau (Fig. 2b). The linear phase ( $R^2 = 0.74$ ) presented a slope of 0.65 ( $p$ -value < 0.0001,  $SE=0.07$ ) until the  $NNI_{critical}$  value

(1.24;  $p$ -value < 0.0001,  $SE = 0.04$ ). Below this  $NNI_{critical}$  the RY increased linearly from 0.13 to 0.94. While  $NNI \geq 1.24$  indicated that the crop was under non-limiting N conditions,  $NNI$  values < 1.24 indicated that N was the yield limiting factor.

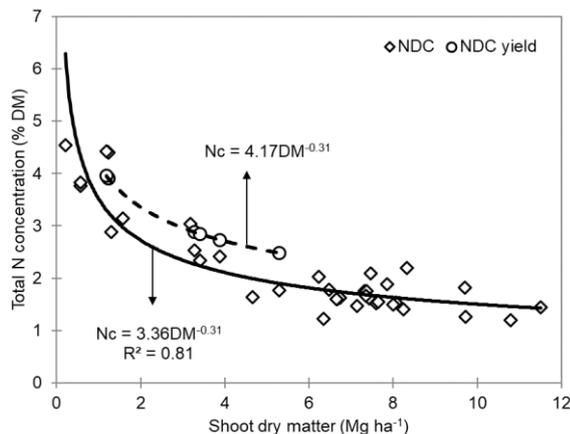
The  $NNI_{critical}$  value at GS 3.3 discriminate limiting N conditions from non-limiting N conditions to obtain the maximum grain yield.

Figure 3 shows the NDC adjusted using DM variability at GS 3.3 only, and NDC adjusted to maximize grain yield ( $NDC_{yield}$ ) ( $N_c = 4.17 \cdot DM^{-0.31}$ ). The latter has the same N dilution coefficient ( $b = -0.31$ ), but its  $N_c$  is 24% higher than for NDC ( $a = 3.36$  vs  $a = 4.17$ , for NDC and  $NDC_{yield}$ , respectively), indicating a greater N requirement to maximize grain yield than to maximize DM at GS 3.3.

**Figure 2.** a) Minimum N concentration needed to maximize the production of shoot dry matter for wheat under rainfed conditions. b) Relationship between NNI at GS 3.3 and RY



**Figure 3.** Nitrogen dilution curve for wheat under rainfed conditions. Rhombuses - minimum concentration of % N to maximize crop growth (NDC); Circles - minimum concentration of % N to maximize grain yield ( $NDC_{yield}$ )



#### Predicting yield N limiting conditions at GS 3.3

Using NNI at GS 3.3 we can identify 74% of N limiting conditions to maximize grain yield. This performance was improved by 4% using  $NDC_{yield}$ , maintaining both type I error and type II error at 11% (Table 2).

**Table 2.** Effectiveness of NNIs estimated with NDC and  $NDC_{yield}$  as indicators of N deficiency and response to nitrogen fertilization in GS 3.3

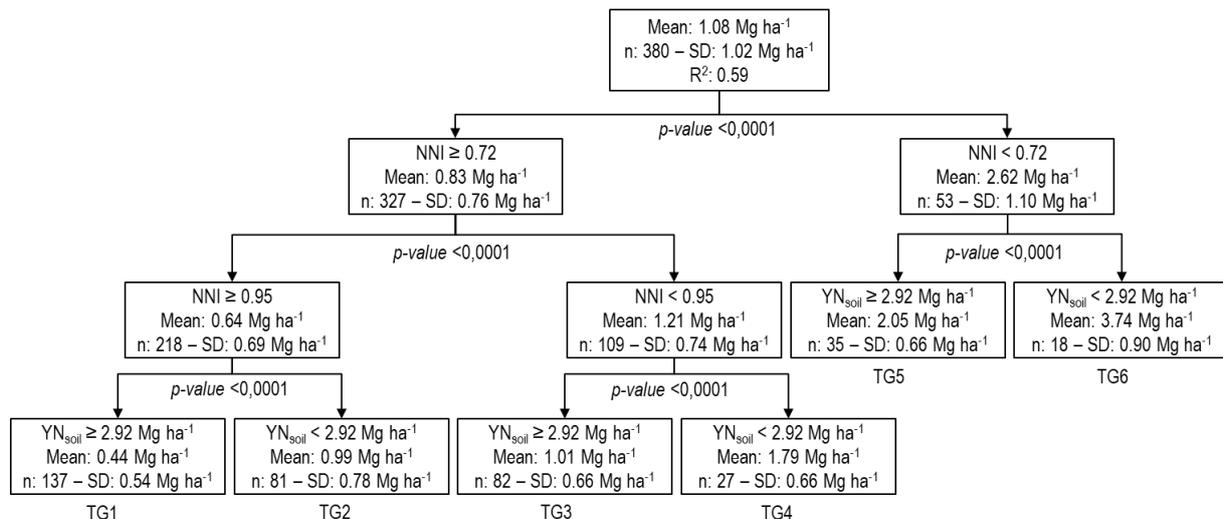
Method	Success	Type I error	Type II Error
----- % -----			
NDC	74	11	15
$NDC_{yield}$	78	11	11

### 3.3 Yield gap explained by nitrogen deficiency at GS 3.3

The regression tree model for wheat Yg as a function of soil N supply capacity, attainable wheat yield ( $Y_{max}$ ) under no-limited N conditions, crop growth and crop nutrition at GS 3.3 variables is shown in Fig. 4. The overall model explained roughly 59% of

Yg variability using the two variables, NNI and  $Y_{N_{soil}}$ . The optimum regression tree had 3 splits and 6 terminal groups (TGs). The first split occurred at NNI 0.72. When  $NNI \geq 0.72$ , a new split was produced by  $NNI = 0.95$ , which suggested that the N deficiency at GS 3.3 was the most important factor in determining Yg. Inside the two main branches, TGs were defined by  $Y_{N_{soil}}$ .

**Figure 4.** Classification and regression tree describing wheat yield gap (Yg) from nitrogen nutrition index (NNI) at GS 3.3, and yield obtained under soil N supply capacity ( $Y_{N_{soil}}$ ). Each node (square) is labeled with average Yg (means), standard deviation (SD) and the number (n) of data in that group. The model is read from top down until terminal group (TG) appear. The statistical significances (p-value) are presented at each root node



While those sites in which  $Y_{N_{soil}} < 2.92 \text{ Mg ha}^{-1}$  (TG2, TG4 and TG6) can be classified as low soil N supply capacity ( $N_{soil}$ -Low) (Fig. 4), those that achieved  $Y_{N_{soil}} \geq 2.92 \text{ Mg ha}^{-1}$  (TG1, TG3 and TG5) are considered as high soil N supply capacity ( $N_{soil}$ -High) (Fig. 4). These environments are associated with the N supply capacity by soil, as the N uptake by crop at GS 3.3 ( $N_{uptake}$ ) tends to be greater as the yield increases in  $Y_{N_{soil}}$ , at a rate of  $20 \text{ kg N ha}^{-1}$  for each  $\text{Mg ha}^{-1}$  of increase in yield ( $N_{uptake} = 0.02 \cdot Y_{N_{soil}} - 14.7$ ,  $p\text{-value} < 0.0001$ ,  $R^2 = 0.86$ ). In low-contribution environments, the crop at GS 3.3 absorbed on average  $29 \text{ kg N ha}^{-1}$  with SD  $5.9 \text{ kg N ha}^{-1}$  and CV 20.5%, while in high-contribution environments  $55 \text{ kg N ha}^{-1}$  were absorbed on average with SD  $13.8 \text{ kg N ha}^{-1}$  and CV 25.3%.

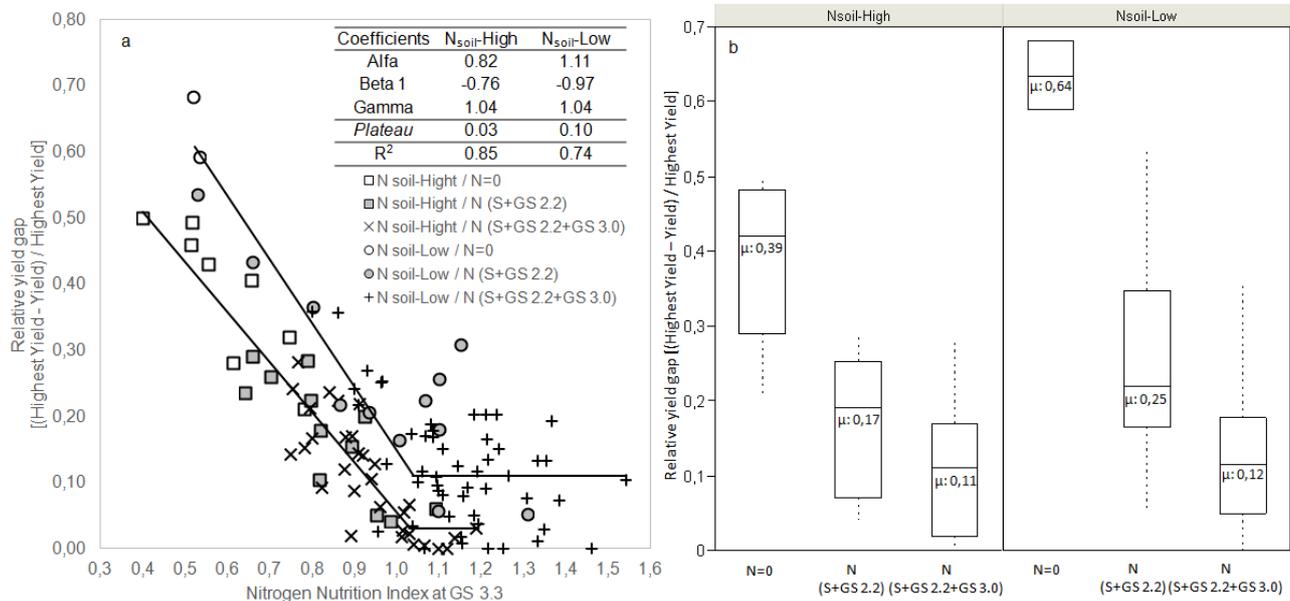
We adjust two negative lineal-plateau functions relating RYg to NNI at GS 3.3 ( $p \leq 0.05$ ) under  $N_{soil}$ -High and  $N_{soil}$ -Low (Fig. 5a). These functions improved the  $R^2 = 0.66$  estimated to the average model ( $RYg = -0.80 \cdot NNI + 0.88$ , if  $NNI < 0.99$ ,  $p\text{-value} < 0.0001$ ,  $SE = 0.03$ ). The slope (beta 1 coefficient, Fig. 5a) of the decreasing linear phase was  $-0.97$  vs.  $-0.76$ ; the RYg plateau were  $0.03$  and  $0.10$

under  $N_{soil}$ -High and  $N_{soil}$ -Low, respectively, but the thresholds (gamma coefficients, Fig. 5a) were the same ( $1.04$ ,  $SE = 0.03$ ,  $p\text{-value} < 0.0001$ ).

The RYg was higher under  $N_{soil}$ -Low than  $N_{soil}$ -High ( $0.64$  vs  $0.39$ ). However, RYg was reduced conforming additional N fertilization included N at seeding date, GS 2.2 and GS 3.0 ( $N = 0$ ;  $N = S + GS 2.2$ ;  $N = S + GS 2.2 + GS 3.0$ ) (Fig. 5b). Differences between soil N supply capacity were reflected in total N fertilizer added following the "current best management practices" (Table 3). On average, N added under  $N_{soil}$ -Low was 33% higher than  $N_{soil}$ -High ( $131$  vs  $99 \text{ kg N ha}^{-1}$ ,  $p\text{-value} = 0.004$ ) (Table 3).

The highest yields (at treatment level in each experiment), used as a reference to calculate the RYg in both soil N supply capacity environments (Table 3), did not differ significantly ( $p\text{-value}: 0.35$ ). Although the average Yg was similar in both environments ( $1.04 \text{ Mg ha}^{-1}$  and  $1.10 \text{ Mg ha}^{-1}$  for  $N_{soil}$ -High and  $N_{soil}$ -Low, respectively,  $p\text{-value}: 0.74$ ), the highest Yg was  $5.25 \text{ Mg ha}^{-1}$  in  $N_{soil}$ -Low against  $3.04 \text{ Mg ha}^{-1}$  in  $N_{soil}$ -High (42% difference between environments).

**Figure 5.** a) Relative yield gap (RYg) caused by nitrogen deficiency to GS 3.3 according to soil N contribution environment. Alfa - intercept, Beta 1 - slope of linear phase, Gamma - NNI value at beginning of plateau (threshold). N=0 - non-N fertilizer, N (S+GS 2.2) - fertilizer at seeding and GS 2.2, N (S+GS 2.2+GS 3.0) - fertilizer at seeding, GS 2.2 and GS 3.0. b) Box plot of RYg by fertilization scheme. Line inside each box indicates median. Whiskers (error bars) above and below box indicate the 90<sup>th</sup> and 10<sup>th</sup> percentiles.  $\mu$  - mean



**Table 3.** Total N added average, benchmark yield ( $Y_{highest}$ ), relative yield gap (RYg) and yield gap (Yg) by environments of soil N supply capacity. N=0 - non-N fertilizer, N (S+GS 2.2) - fertilizer at seeding and GS 2.2, N (S+GS 2.2+GS 3.0) - fertilizer at seeding, GS 2.2 and GS 3.0, SD - standard deviation

	Total N added $\pm$ SD (kg ha <sup>-1</sup> )	$Y_{highest}\pm$ SD (Mg ha <sup>-1</sup> )	Yg $\pm$ SD (Mg ha <sup>-1</sup> )	RYg $\pm$ SD
<b>N<sub>soil-High</sub></b>	<b>99<math>\pm</math>58</b>	<b>6.43<math>\pm</math>0.63</b>	<b>1.04<math>\pm</math>0.78</b>	<b>0.17<math>\pm</math>0.13</b>
N=0	0	6.06 $\pm$ 0.50	2.34 $\pm$ 0.57	0.39 $\pm$ 0.10
N (S+GS 2.2)	86 $\pm$ 23	6.61 $\pm$ 0.66	1.10 $\pm$ 0.51	0.17 $\pm$ 0.09
N (S+GS 2.2+GS 3.0)	129 $\pm$ 43	6.46 $\pm$ 0.61	0.68 $\pm$ 0.50	0.11 $\pm$ 0.08
<b>N<sub>soil-Low</sub></b>	<b>131<math>\pm</math>57</b>	<b>6.56<math>\pm</math>0.88</b>	<b>1.10<math>\pm</math>1.02</b>	<b>0.16<math>\pm</math>0.14</b>
N=0	0	7.30 $\pm$ 0.38	4.67 $\pm$ 0.58	0.64 $\pm$ 0.05
N (S+GS 2.2)	76 $\pm$ 30	6.81 $\pm$ 0.85	1.74 $\pm$ 1.03	0.25 $\pm$ 0.14
N (S+GS 2.2+GS 3.0)	149 $\pm$ 48	6.48 $\pm$ 0.87	0.81 $\pm$ 0.63	0.12 $\pm$ 0.09
<b>General average</b>	<b>117<math>\pm</math>60</b>	<b>6.50<math>\pm</math>0.78</b>	<b>1.07<math>\pm</math>0.92</b>	<b>0.16<math>\pm</math>0.13</b>

## 4. Discussion

The NDC for wheat and other crops and pastures is widely reported in the literature for non-limiting water conditions. However, empirical evidence in wheat<sup>(27)</sup> and other crops<sup>(28-29)</sup> indicates that the nitrogen requirements in cropping systems under rainfed conditions are lower due in part to changes in biomass assignment<sup>(30)</sup>. In this work the adjustment was made under the current production conditions for Uruguay, in which the water supply depends on the amount and distribution of rainfall and the ability to store soil water. Under these conditions, the production of DM may be limited by water availability. In our results, biomass production was

low, mainly in initial phenological stages (Table 1), being able to limit the attainable yield<sup>(30)</sup> and the recovery efficiency of N<sup>(31)</sup>. For study conditions, the adjusted NDC<sub>yield</sub> has similar coefficients only to those reported by Yue and others<sup>(32)</sup> in northern China and by Greenwood and others<sup>(33)</sup> in Belgium and Sweden. The difference with the adjusted coefficients in other works results from the expected variation within species<sup>(19)</sup>, experimental sites, phenological stages<sup>(33)</sup>, regions, genotypes and management<sup>(34-35)</sup>, which justifies the need for local adjustment.

Our framework reflects two major changes occurred in cropping systems during the last 20 years i)

increased wheat yield by the new varieties; ii) continuous agriculture under no-till substituting crop-pasture rotation. The first imply increased N requirements; the second, reduced N supply from the soil. This scenario is not reflected by the database used to adjust best management practices to define the N fertilizer rates. While yield level categories proposed by Baetghen<sup>(6)</sup> to define N fertilizer requirement at GS 3.0 include three yield categories lower than 3.5 Mg ha<sup>-1</sup> (2.5, 1.5 and <1.5), yield higher than 3.5 Mg ha<sup>-1</sup> are included in a single category. Our results show that, except under N<sub>soil</sub>-Low scenarios (Fig. 5, TG2, TG4 and TG6), wheat yield would be assigned to “yield higher than 3.5 Mg ha<sup>-1</sup>” category (Supplementary material 1). Furthermore, the mean Y<sub>highest</sub> was 3 Mg higher than the limit proposed to the highest yield category (6.5 vs 3.5 Mg ha<sup>-1</sup>, respectively, Table 3). These results confirm that N fertilizer decisions are taken following a scheme that is not reflecting the real wheat N requirements generated by new high wheat yield varieties. Although the Y<sub>g</sub> was reduced applying N fertilizer to seeding, GS 2.2 and GS 3.0, more than 0.6 Mg ha<sup>-1</sup> of wheat yield was lost due to N deficit at GS 3.3 (Fig. 5b and Table 3). We interpret the Y<sub>g</sub> attributed to low NNI at GS 3.3 (Fig. 5a) responds to sub-estimated N requirements to reach the actual attainable wheat yield (Y<sub>highest</sub>).

Related to soil N supply capacity, two results must be remarked: i) 40% of experimental sites represent N<sub>soil</sub>-Low scenarios; ii) assuming 30 kg of N to produce 1 Mg of grain wheat<sup>(36)</sup>, soil N supply varied between 51 and 87 kg ha<sup>-1</sup>, representing 26 to 45% of N required to Y<sub>highest</sub>. While sites representing N<sub>soil</sub>-High supplied around 45-65% of N required (Supplementary material 1). Although these soil N supply variability implied increased N fertilizer rates applied until GS 3.0, the Y<sub>g</sub> attributed to N deficit at GS 3.3 was mitigated but not suppressed (Table 3).

The NDC adjusted under current production conditions (Fig. 3) would be used to identify limited N conditions independently from growth phenological stage, allowing an effective diagnostic to monitoring N status from GS 2.2 to GS 6.5 in real time. This approach was proposed by Lemaire and others<sup>(37)</sup>, as “*new paradigms for crop mineral nutrition and fertilization towards sustainable agriculture*”. In situ crop N diagnosis should help determine when additional N fertilization is required. It would be a new tool to improve crop N fertilization management.

Combining NDC and NNI concepts, we identify an NNI critical value at GS 3.3 to maximize wheat grain yield (Fig. 2b). The novelty is that using this function

we can identify 74% of grain yield limited by N supply condition (Table 2). The critical NNI value at GS 3.3 was higher than 1 (NNI<sub>critical</sub> = 1.24), suggesting that crop N<sub>c</sub> to reach Y<sub>max</sub> must be 24% higher than N<sub>c</sub> to maximize DM at GS 3.3.

We adjust a new NDC (NDC<sub>yield</sub>), but using only DM variability accumulated until GS 3.3. This NDC<sub>yield</sub> is valid to identify grain wheat yield limited by N nutrition conditions only at GS 3.3 phenological stage. Contrasting two N rates applied at GS 3.3 (0 vs 50 kg ha<sup>-1</sup>) in independently experiments, we identify around 80% of such conditions (Table 2), where grain yield was increased by an average of 0.6 Mg ha<sup>-1</sup> (data non showed).

While our research strategy does not permit N fertilizer rates recommendations (how much N), yield gap explained by crop N deficiency at GS 3.3 quantify how much grain yield is lost. This Y<sub>g</sub> would express differential response pattern to N fertilizer depending from the soil N supply capacity, affecting the N use efficiency<sup>(7)</sup>. Grain yield depletion in response to NNI<1 varied between 3 and 10% of Y<sub>highest</sub> (N<sub>soil</sub>-High or N<sub>soil</sub>-Low, respectively, Fig. 5). Since our benchmark yield (Y<sub>highest</sub>) varied from 5.46 to 7.68 Mg ha<sup>-1</sup> (Table 3), the Y<sub>g</sub> attributed to deficient crop N nutrition at GS 3.3 varied from 0 to 3.04 Mg ha<sup>-1</sup> and from 0 to 5.25 Mg ha<sup>-1</sup> under N<sub>soil</sub>-High and N<sub>soil</sub>-Low conditions, respectively.

The analysis suggests that N fertilizer management needs to be adjusted differentially when operating under variable soil N supply capacity. We hypothesize that the differential Y<sub>g</sub> responds to a progressive N release from the soil caused by increased temperature and soil water availability during the spring, where N<sub>soil</sub>-High would improve N mineral release to crop growth.

To improve N diagnosis, it should also include the nitrogen to be mineralized during the crop growing season. For example, N potentially mineralizable has been proposed to adjust N fertilizer rates<sup>(38)</sup>. This soil N supply capacity indicator could be a powerful tool to improve our N supply diagnosis to reduce both type I and Type II errors (Table 2). This concept was included as “high or low expected response to N fertilization” groups by Hoffman and others<sup>(4)</sup>. The Y<sub>max</sub> obtained in both environments was similar (6.5 vs. 6.7 Mg ha<sup>-1</sup>), which would indicate that N was the main limitation. Meanwhile, the existence of a RY<sub>g</sub>=0.1 even in NNI>NNI<sub>critical</sub> for N<sub>soil</sub>-Low conditions suggests the existence of additional limitations, either of N not satisfied with an accumulation of N above the NDC to GS 3.3, or related to other soil properties not directly related to the

supply of N. This concept would be equivalent to the one managed by Ernst and others<sup>(7)</sup>, who attribute it to deterioration of physical properties of the soil that modify the efficiency of use of N. Also, other nutrients may not be limiting at reduced yield levels when the crop is deficient in N, but they become limiting at higher yield levels, made possible by better nitrogen nutrition of the plant<sup>(31)</sup>.

Our results contribute to reducing the uncertainty of the prognosis based on soil N supply indicator only. Monitoring crop N status would reduce the risk of yield reduction, avoiding the current tendency to overfertilize because of the great uncertainty for forecasting N fertilizer requirements.

## 5. Conclusions

Our study quantified a wheat yield gap (Yg) attributed to limited crop N nutrition at GS 3.3, yet under the best management practices. This Yg increased under low soil N supply conditions ( $N_{\text{soil-Low}}$ ). The nitrogen dilution curve adjusted under local conditions has two benefits: i) it permits permanent N diagnosis independently from crop growth phenological stage; and ii) it allows to derive the nitrogen nutrition index (NNI) critical value at GS 3.3 to maximize wheat grain yield. This critical NNI value was an effective tool diagnosing the increased N demand imposed by current attainable yields.

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## Author contribution statement

This research is part of the Master's Thesis of NF at the School of Agronomy of the University of the Republic, Uruguay. OE, principal advisor; AB, co-advisor; EH, leader of nitrogen nutrition management in spring cereals program, School of Agronomy.

All authors contributed to the analysis and discussion in a similar way.

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## Supplementary material

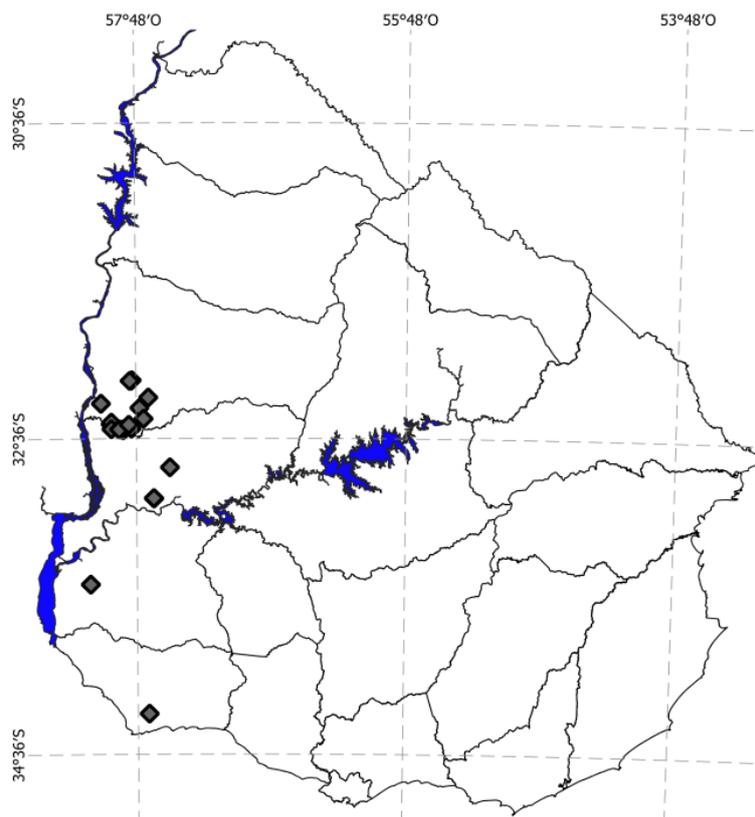
### Supplementary material 1. Description of experimental sites included in database. SD – Standard deviation, PAW – Potentially available water, Rain – May to November

Description of experimental sites												
No.	Location	Year	Soil texture	Seeding	Previous crop	Variety	Rain (mm)	PAW (mm)	N Rate (kg ha <sup>-1</sup> )	Sampling stages (Zadoks scale)	Control Yield±SD (Mg ha <sup>-1</sup> )	Top Yield±SD (Mg ha <sup>-1</sup> )
1*	EEMAC - Paysandú	2016	Loamy sand	02-Jul	-	Fuste	-	-	0-40-80-120-160	GS 2.2-GS 3.0-GS 3.3	-	-
2	EEMAC - Paysandú	2014	Loam	21-Jun	Pasture	Fuste	817	123	0-27-55-70-105-115-130-143-188-227	GS 3.0-GS 3.3	3.7±0.59	6.6±0.18
3	EEMAC - Paysandú	2014	Loam	21-Jun	Pasture	Fuste	817	123	0-27-77-127	GS 3.0-GS 6.5	2.9±0.15	4.4±0.36
4	Dolores - Soriano	2016	Clay loam	10-Jun	Pasture	Fuste	465	159	23-93-115-151-166-209-218	GS 3.0-GS 3.3	3.0±0.14	5.5±0.55
5	Dolores - Soriano	2016	Clay loam	10-Jun	Pasture	DM Ceibo	465	159	23-93-115-155-159-210	GS 3.0-GS 3.3	3.3±0.27	5.9±0.39
6	Sauce Viejo - Río Negro	2015	Silty clay	21-May	Soybean	Fuste	570	66	0-30-50-80	GS 3.3-GS 6.5	3.1±0.49	4.1±0.93
7	Sauce Viejo - Río Negro	2015	Silty clay	21-May	Soybean	Fuste	570	66	0-30-50-80	GS 3.3-GS 6.5	3.2±0.99	3.9±0.86
8	Sauce Viejo - Río Negro	2015	Silty clay	21-May	Soybean	Fuste	570	66	0-30-50-80	GS 3.3-GS 6.5	3.7±0.65	3.9±0.67
9	Sauce Viejo - Río Negro	2015	Silty clay	21-May	Soybean	Fuste	570	72	0-30-50-80	GS 6.5	3.1±0.80	4.8±0.60
10	Camino La Paz - Paysandú	2011	Clay loam	13-May	Pasture	Baguette 19	630	86	0-64-114-139	GS 6.5	4.1±0.56	5.1±0.12
11	Camino La Paz - Paysandú	2011	Sandy loam	22-May	Soybean	Nogal	630	86	0-80-105-117	GS 6.5	4.1±0.51	4.9±0.88
12	Camino La Paz - Paysandú	2011	Silty loam	09-May	Soybean	Baguette 19	645	86	0-63-148-191	GS 6.5	3.3±0.49	4.7±0.34
13	Camino La Paz - Paysandú	2011	Sandy loam	08-May	Soybean	Baguette 19	645	86	0-62-167-220	GS 6.5	3.8±0.23	5.3±0.13
14	Camino La Paz - Paysandú	2011	Silty loam	10-May	Soybean	Nogal	645	86	0-63-98-116	GS 6.5	4.2±0.48	4.7±0.40
15	Camino La Paz - Paysandú	2011	Silty loam	07-May	Soybean	Baguette 19	645	86	0-68-158-203	GS 6.5	3.7±0.77	5.6±0.31
16	Camino La Paz - Paysandú	2011	Silty loam	03-Jun	Soybean	Baguette 11	655	86	0-96-186-231	GS 6.5	2.6±0.50	4.7±0.23
17	Porvenir - Paysandú	2012	Sandy clay	04-May	Soybean	Baguette 19	795	137	0-85-166-207	GS 6.5	3.1±0.41	3.6±0.38
18	Porvenir - Paysandú	2012	Sandy loam	06-Jun	Soybean	Baguette 601	815	104	0-64-100-118	GS 6.5	2.3±0.43	2.6±0.21
19	Young sur - Río Negro	2012	Loam	02-Jun	Fallow	Nogal	805	156	0-97-138-159	GS 6.5	2.5±0.39	2.7±0.41
20	Camino La Paz - Paysandú	2012	Silty loam	15-May	Soybean	Baguette 19	840	156	0-106-169-201	GS 6.5	2.4±0.69	2.9±0.19
21	La Tentación - Paysandú	2012	Sandy loam	12-May	Soybean	Baguette 19	845	86	0-83-115-131	GS 6.5	2.5±0.19	2.5±0.39
22	Camino La Paz - Paysandú	2012	Silty loam	10-May	Soybean	Baguette 11	855	86	0-62-107-130	GS 6.5	2.5±0.49	3.2±0.33
23	Camino La Paz - Paysandú	2012	Silty loam	12-May	Soybean	Baguette 11	870	86	0-57-111-138	GS 6.5	1.9±0.98	3.4±0.11
24	Camino La Paz - Paysandú	2012	Silty loam	15-May	Soybean	Baguette 11	870	86	0-63-104-125	GS 6.5	1.7±0.13	2.7±0.48
25	Young norte - Paysandú	2012	Silty loam	12-May	Soybean	Baguette 11	810	123	0-63-126-158	GS 6.5	3.4±0.39	3.6±0.39
26	Constancia - Paysandú	2012	Clay loam	01-Jun	Soybean	Baguette 11	800	156	0-70-120-145	GS 6.5	2.2±1.02	3.0±0.16
27	Constancia - Paysandú	2012	Clay loam	14-May	Soybean	Baguette 11	800	156	0-69-123-150	GS 6.5	2.9±0.34	3.0±0.45
28	Constancia - Paysandú	2012	Sandy loam	19-May	Pasture	Baguette 11	800	156	0-69-101-117	GS 6.5	2.5±0.28	3.1±0.21

**Supplementary material 2.** Description of experimental sites used to evaluate NNI as predictor of N deficiency at GS 3.3 to reach maximum grain yield. SD – Standard deviation, PAW – Potentially available water, Rain – May to November

Description of experimental sites												
No.	Location	Year	Soil texture	Seeding	Previous crop	Variety	Rain (mm)	PAW (mm)	N Rate (kg ha <sup>-1</sup> )	Sampling stages (Zadoks scale)	Control Yield±SD (Mg ha <sup>-1</sup> )	Top Yield±SD (Mg ha <sup>-1</sup> )
1	Sauce Viejo - Río Negro	2015	Silty clay	21-May	Soybean	Fuste	570	72	0-50	GS 3.3	3.7±0.52	5.3±0.59
2	Sauce Viejo - Río Negro	2015	Silty clay	21-May	Soybean	Fuste	527	66	0-50	GS 3.3	3.5±0.79	4.4±0.34
3	Dolores - Soriano	2016	Clay loam	10-Jun	Pasture	DM Ceibo	465	159	0-50-100	GS 3.3	5.3±0.29	5.9±0.65
4	Dolores - Soriano	2016	Clay loam	10-Jun	Pasture	Fuste	465	159	0-50-100	GS 3.3	4.6±0.25	5.5±0.19
5	EEMAC - Paysandú	2016	Loam	23-Jun	Pasture	Fuste	505	123	0-40-77-116	GS 3.3	6.3±0.53	6.4±0.83
6	La Estanzuela - Colonia	2016	Loam	10-Jun	Soybean	Baguette 601	570	119	0-50	GS 3.3	5.2±0.62	5.2±0.37

### Supplementary material 3. Spatial distribution of experimental sites



### Supplementary material 4. Glossary

% N – plant nitrogen concentration

DM – dry matter

GS – growth stage

ha – hectare/s

N – nitrogen

N<sub>c</sub> – critical nitrogen concentration

NDC – nitrogen dilution curve

NDC<sub>yield</sub> – NDC to maximize grain yield

NNI – nitrogen nutrition index

NNI<sub>critical</sub> – critical NNI level

N-NO<sub>3</sub><sup>-</sup> – nitrate

N<sub>soil</sub> – soil N supply

N<sub>soil-High</sub> – high soil N supply capacity

N<sub>soil-Low</sub> – low soil N supply capacity

N<sub>uptake</sub> – N uptake by crop

RY – relative yield

RYg – relative yield gap

TG – terminal group

Y – grain yield

Yg – yield gap

Y<sub>highest</sub> – highest grain yield obtained without N fertilizer applied at GS 3.3

Y<sub>max</sub> – maximum yield obtained under the best N treatment in each experiment

Y<sub>N=0</sub> – yield obtained under N=0

Y<sub>Nsoil</sub> – yield obtained under soil N supply capacity